

## ACCOUNT TRANSFER REQUEST

### TRANSFER ACCOUNT FROM

Name  Telephone #

Address

### CUSTOMER INFORMATION

Account Title  Telephone #

Address

Account # (s)  Account Executive or Introducing Broker

I / WE HEREBY REQUEST THAT YOU CANCEL ANY PENDING OPEN ORDERS AND TRANSFER IMMEDIATELY ALL OF THE CASH BALANCES, OPEN POSITIONS, MARGIN DEPOSITS, TREASURY BILLS AND/OR ANY OTHER COLLATERAL IN MY (OUR) ACCOUNT(S) TO:

**STRAITS FINANCIAL LLC - ONE FINANCIAL PLACE, Suite 3990, CHICAGO, IL 60605**

If joint account or general partnership, all persons must sign. If this is a limited partnership account, the general or managing partner must sign. If an LLC, managing member(s)/members must sign. Authorized officer(s) for a corporation.

**Signature 1**

X

Print Name

Title (if applicable)  Date

**Signature 2**

X

Print Name

Title (if applicable)  Date

## RELATED ACCOUNT AUTHORIZATION

The undersigned ("Customer") hereby authorizes and directs Straits Financial LLC ("Straits") to open a new account using all existing account documentation including but not limited to agreements and risk disclosure acknowledgements, maintained and existing on file with Straits as well as any amendments made to such documents. Customer hereby acknowledges the receipt and sufficiency of consideration in exchange for Straits' agreement to open this new account. Customer accepts and agrees to be obligated to all of the representations and terms and conditions contained within the existing account documentation (if applicable, as amended), customer agreement, and other agreement, including personal guarantees, or acknowledgement of receipt of risk disclosures previously agreed to with Straits or which are herein incorporated by reference. Customer further represents that any additional account opened pursuant to this authorization is identical in all respects to Customer's existing account, except as otherwise disclosed to Straits in writing, and further represents that there have been no material changes in Customer's personal information or financial condition as previously disclosed in prior account documentation. Customer acknowledges that his/her separate accounts will not contain long positions in one account and offsetting short positions in another account unless such accounts are independently traded or unless one account is a Speculative Account and the other is a Hedge Account. In any event, Customer understands that positions in separate accounts cannot be transferred from one account to another account if such transfer would result in an offsetting transaction.

Account Title  Reason for Additional Account

Existing Account #  New Account #

Does the new account grant Power of Attorney to a third party?  Yes  No

If Yes, the name of the POA?

All account holders must sign.

**Signature 1**

X

Print Name

Title (if applicable)  Date

**Signature 2**

X

Print Name

Title (if applicable)  Date